

10/22/01  
U.S. PTO

## HAMILTON, BROOK, SMITH &amp; REYNOLDS, P.C.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**  
*(Only for new nonprovisional applications under  
37 C.F.R. 1.53(b))*

Attorney Docket No. 1440.1042  
 First Named Inventor or Application Identifier Jeffrey S. Flier  
 Express Mail Label No. EV044391013US

JF997 U.S. PTO 10/22/01

Title of Invention

METHODS AND COMPOSITIONS FOR MODULATING CILIARY NEUROTROPHIC FACTOR ACTIVITY

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

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| 1. [ ] Fee Transmittal Form<br><i>(Submit an original, and a duplicate for fee processing)</i>   | 6. [ ] Microfiche Computer Program ( <i>Appendix</i> )   |
| 2. [X] Specification <b>[Total Pages 41 ]</b><br><i>(preferred arrangement set forth below)</i>  | 7. [ ] Nucleotide and/or Amino Acid Sequence Submission<br><i>(if applicable, all necessary)</i> |
| - Descriptive title of the invention   | a. [ ] Computer Readable Copy  |
| - Cross References to Related Applications   | b. [ ] Paper Copy (identical to computer copy)   |
| - Statement Regarding Fed sponsored R & D  | [ ] Pages  |
| - Reference to microfiche Appendix   | c. [ ] Statement verifying identity of above copies  |
| - Background of the Invention  |  |
| - Summary of the Invention   |  |
| - Brief Description of the Drawings  |  |
| - Detailed Description   |  |
| - Claim(s)   |  |
| - Abstract of the Disclosure   |  |
| 3. [ X] Drawing(s) (35 U.S.C. 113) <b>[Total Sheets 5 ]</b>  |  |
| [ X] Formal [ ] Informal   |  |
| [ ] Fig. of the Drawings for Publication [ ]   |  |
| 4. [ ] Oath or Declaration/POA <b>[Total Pages [ ] ]</b>   |  |
| a. [ ] Newly executed (original or copy)   |  |
| b. [ ] Copy from a prior application (37 C.F.R. 1.63(d))<br><i>(for continuation/divisional with Box 18 completed)</i><br><b>[NOTE Box 5 below]</b>  |  |
| i. [ ] <u>DELETION OF INVENTOR(S)</u><br>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).  |  |
| 5. [ ] Incorporation By Reference <i>(useable if Box 4b is checked)</i><br>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |  |
| 8. [ ] Assignment Papers (cover sheet & documents)<br>[ ] Assignee -   |  |
| 9. [ ] 37 C.F.R. 3.73(b) Statement [ ] Power of Attorney<br><i>(when there is an assignee)</i>   |  |
| 10. [ ] English Translation Document <i>(if applicable)</i>  |  |
| 11. [ ] Information Disclosure Statement (IDS)/PTO-1449 [ ] Copies of IDS Citations  |  |
| 12. [ ] Preliminary Amendment  |  |
| 13. [X] Return Receipt Postcard (MPEP 503)<br><i>(Should be specifically itemized)</i>   |  |
| 14. [ ] Small Entity Statement(s) [ ] Statement filed in prior application, status still proper and desired  |  |
| 15. [ ] Certified Copy of Priority Document(s)<br><i>(if foreign priority is claimed)</i>  |  |
| 16. [ ] Nonpublication Request <i>(check parent application)</i>   |  |
| 17. [ ] Other: _____   |  |

## 18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

[X] Continuation [ ] Divisional [ ] Continuation-in-part (CIP) of prior application No.: PCT/US00/10693

Prior application information: Examiner: Group Art Unit:

## 19. CORRESPONDENCE ADDRESS

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